

ANNEXURE O
TRANSMISSION FORM

Date

To,
The Saraswat Co-Op Bank Ltd
Madhushree, Plot No. 85, 4th flr
District Business Centre
Sector 17 Vashi
Navi Mumbai: - 400703

(1) I/We, the undersigned, being the,

Executors(s) of the Will	<input type="text"/>	Legal heir(s)	<input type="text"/>
Administrator(s) of the Estate	<input type="text"/>	Joint Holder(s)	<input type="text"/>
Successor(s) to the Estate	<input type="text"/>	Nominee	<input type="text"/>
Survivors of HUF	<input type="text"/>		

of Mr./Mrs./Ms _____, Mr./Mrs./Ms.
_____ and Mr./Mrs./Ms
_____, the deceased, of which *nomination / probate/
letter of administration/ succession certificate was duly granted to me/ us on the _____ day of
_____ of _____ hereby request you to register me/us as the beneficial owner(s) in respect
of the securities standing in the name of the said deceased under Client ID _____
DP ID _____ the details of which are as follows:

ISIN	Name of Company	No. Of Securities

(2) I/We give hereunder the details of my/our account with a Participant to which the security balances are requested to be transmitted:

Name	Client ID	DP ID

(3) List of Documents enclosed (for Individual accounts) (tick as applicable):

- A For surviving holder(s) in a joint account
 Copy of Death Certificate duly attested by a Notary Public or by a Gazetted Officer,
- B For nominee of the deceased:
 Copy of Death Certificate duly attested by a Notary Public or by a Gazetted Officer.
- C For legal heir(s)/legal representative(s) where deceased was a sole holder and no nomination in the account-Value of holding not exceeding Rs.1 lakh on the date of application
 Copy of Death Certificate duly attested by a Notary Public or by a Gazetted Officer
 Indemnity (format given at Annexure OC)
 Affidavit (format given at Annexure OD)
 No objection certificate(s) (format given at Annexure OE)
 Family Settlement Deed [as an alternate to No objection certificate(s)]

D For legal heir(s)/legal representative(s) where deceased was a sole holder and no nomination in the account-Value of holding was Rs.1 lakh or more on the date of application

- Copy of death Certificate duly attested by a Notary Public or a by a Gazetted Officer
- Succession Certificate
- Letter of Administration
- Probate of the Will

(4) Declaration:

(Applicable only for legal heir(s)/legal representative(s) where deceased was a sole holder and no nomination in the account – Value of holding not exceeding Rs.1Lakh on the date of application)

We declare that the below mentioned person(s) are the only legal heir(s) of the deceased and there are not other legal heir(s). Of these, as specified below, some/all of them are claimants and some of them have given a No Objection Certificate in favour of other legal heir(s). Details are given below:

Sr. No.	Name of the legal heir(s)	Specify whether a claimant or given a No Objection Certificate

(5) List of Documents enclosed (for HUF accounts) (tick as applicable):

A Value of holding not exceeding Rs. 10 lakh on the date of application. [Where there is an objection from any member of HUF, documents mentioned **B** below must be submitted]

- Copy of Death Certificate duly attested by a Notary Public or by a Gazetted Officer,
- Indemnity (format given at Annexure OF)
- Affidavit (format given at Annexure OG)

B Value of holding was Rs. 10 lakh or more on the date of application.

- Copy of Death Certificate duly attested by a Notary Public or by a Gazetted Officer
- Court Decree
- Deed of Partition

C Separation/Partition of HUF:

- Copy of Death Certificate duly attested by a Notary Public or by a Gazetted Officer
- Settlement Deed
- Deed of Partition
- Court Decree

(6) Signatures:

Sr. No.	Name of Claimant	Signature of Claimant

Notes: 1. This request form should be signed by the surviving joint holder(s) legal heir(s)/ legal Representative(s)/nominee/all surviving members of the HUF, as the case may be.

2.* Strike off whichever is not applicable.